#### **DONALD B. RUBIN**

University Affiliations: Professor, Yau Mathematical Sciences Center, Tsinghua University

Murray Shusterman Senior Research Fellow, Department of Statistical

Science, Fox School of Business

Professor Emeritus, Department of Statistics, Harvard University

Address: Room 316, Jingzhai, Tsinghua University, Haidian District, Beijing, China

zip code: 100084

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**University Degrees:** 

A.B. 1965 Princeton University
magna cum laude Major: Psychology

Phi Beta Kappa Advisor: Professor Lawrence A. Pervin

Thesis: "Dissatisfaction with College and Tendency to Drop

Out of College as a Function of Value Dissonance"

M.S. 1966 Harvard University

Major: Computer Science

Advisor: Professor Anthony G. Oettinger

Ph.D. 1970 Harvard University

Major: Statistics

Advisor: Professor William G. Cochran

Thesis: "The Use of Matched Sampling and Regression

Adjustment in Observational Studies"

Honorary Doctorates Doctorate Honoris Causa, Faculty of Social Sciences and Economics, Otto-

Friedrich-Universität Bamberg, 31 May 2011, Bamberg, Germany.

Doctorate Honoris Causa, University of Ljubljana, 4 December 2012,

Ljubljana, Slovenia.

Doctorate Honoris Causa, Universidad Santo Tomás, 22 April 2015, Bogotá,

Colombia.

Doctorate Honoris Causa, Medical Faculty, Uppsala University, 27 January

2017, Uppsala, Sweden.

Doctorate Honoris Causa, Northwestern University, 16 June 2017, Evanston,

Illinois.

DONALD B. RUBIN - 2 -

# **Faculty Positions at Harvard University:**

1970-1971	Lecturer on Statistics, Department of Statistics, Harvard University, Cambridge, Massachusetts
1978	Visiting Lecturer (Spring Term). Department of Statistics, Harvard University, Cambridge, Massachusetts.
1984-2002	Professor, Department of Statistics.
1985-1994	Chairman, Department of Statistics.
1985-1991& 1997-2000	Director of Graduate Studies, Department of Statistics.
1997-2000	Faculty Staff, Program on Educational Policy and Governance.
1998-2018	Member, Standing Committee on Health Policy, Harvard University.
1999-2000	Chairman, Department of Statistics' Senior Search Committee.
2000-2004	Chairman, Department of Statistics.
2002-2018	John L. Loeb Professor of Statistics.
2003-2018	Faculty Associate, Center for Basic Research in the Social Sciences.
2018-present	Professor Emeritus

DONALD B. RUBIN - 3 -

### **Other Professional Positions:**

1962	Assistant Technician (Summer), Armour Research Foundation, Illinois Institute of Technology Chicago, Illinois.
1963	Assistant Technician (Summer), Armour Research Foundation, Illinois Institute of Technology, Chicago, Illinois.
1964	NSF Fellowship (Summer) - Research with Professor Lawrence A. Pervin, Department of Psychology, Princeton University, Princeton, New Jersey.
1965	NSF Fellowship (Summer) - Research with Professor Lawrence A. Pervin, Department of Psychology, Princeton University, Princeton, New Jersey.
1966	Research Assistant for Professor Lawrence A. Pervin (Summer), Department of Psychology, Princeton University, Princeton, New Jersey.
1966	Research Assistant for Professor John W. Tukey (Summer), Department of Mathematics, Princeton University, Princeton, New Jersey.
1966-1967	Teaching Fellow in Computer Science for Mr. George Mealey, Department of Applied Mathematics, Harvard University, Cambridge, Massachusetts.
1967-1968	Research Assistant for Professor Lawrence Stolurow, Computer Aided Instruction Laboratory, Harvard University, Cambridge, Massachusetts.
1967-Present	Statistical consultant on various projects for governmental agencies, research organizations, and university faculty. Details available upon request.
1968-1969	Teaching Fellow in Statistics for Professor Frederick Mosteller, Research Assistant for Professor Frederick Mosteller, Department of Statistics, Harvard University, Cambridge, Massachusetts.
1969-1970	Research Assistant for Professor William G. Cochran, Department of Statistics, Harvard University, Cambridge, Massachusetts.
1971-1974	Visiting Lecturer, Department of Statistics, Princeton University, Princeton, New Jersey.
1971-1975	Research Statistician, Educational Testing Service, Princeton, New Jersey.
1975	Visiting Scholar (Winter Quarter), Department of Statistics, University of California at Berkeley.
1975-1979	Chairman, Statistics Group, Educational Testing Service, Princeton, New Jersey.
1976	Visiting Associate Professor (Winter and Spring Quarters), Department of Applied Statistics, University of Minnesota, St. Paul, Minnesota.
1979	Senior Visiting Fellow, Center for Applied Statistics, University of Lancaster, England.
1979	Visiting Lecturer (Spring Term), Department of Statistics, Princeton University, Princeton, New Jersey.

DONALD B. RUBIN - 4 -

1980-1981	Director (December, January), Division of Statistics and Applied Mathematics, Office of Radiation Programs, U.S. Environmental Protection Agency, Washington, D.C. (Senior Executive Service 4).
1980-1982	Senior Statistical Research Advisor, Educational Testing Service, Princeton, New Jersey.
1981	Senior Visiting Fellow, Center for Applied Statistics, University of Lancaster, England.
1981-1982	Visiting Professor, Mathematics Research Center, University of Wisconsin at Madison.
1981-2008	President, Datametrics Research, Inc., Waban, Massachusetts.
1982	Visiting Professor (February, April), Department of Mathematics, University of Texas at Austin.
1982-1984	Professor, Department of Statistics and Department of Education, The University of Chicago, Illinois.
1983-Present	Research Associate, NORC, Chicago, Illinois.
1999-2001	Fellow in Theoretical and Applied Statistics, National Bureau of Economic Research, Cambridge, Massachusetts.
2018	Distinguished Visitor of the Center for Statistical Science of the Jockey Club Institute for Advanced Study at Hong Kong University of Science and Technology.

### **Professional Activities:**

1975-1979	Associate Editor, Theory and Methods, The Journal of the American Statistical Association.
1975-1979	Associate Editor, Book Reviews, The Journal of the American Statistical Association.
1975-1980	Organizational Representative for the Institute of Mathematical Statistics at Educational Testing Service.
1976-1979	Associate Editor, Journal of Educational Statistics.
1979-1990	Corresponding Associate Editor, The Behavioral and Brain Sciences.
1976-1979	Reviewer for Mathematical Reviews.
1977-1978	Member, Committee on Privacy and Confidentiality, American Statistical Association.
1977-1983	Member, Panel on Incomplete Data, Committee for National Statistics, National Academy of Sciences. Chairman, Theoretical Subpanel. Member, Steering Committee.
1979	Editor Elect, The Journal of the American Statistical Association.

DONALD B. RUBIN - 5 -

1980-1982	Coordinating Editor and Applications Editor, The Journal of the American Statistical Association.
1980-1982	Member, Board of Directors, American Statistical Association.
1980-1982	Member, Publications Committee, American Statistical Association.
1981-1985	Member, Social Science Research Council Working Group on Procedures for Imputing Occupation Codes on Census Public-Use Files.
1981	Member, National Academy of Sciences Review Panel on Statistical Methodology of Coleman's Report on the Efficacy of Public vs. Private Schools.
1983-1989	Member, National Academy of Sciences Committee on the Performance of Military Personnel.
1983-1984	Member, National Academy of Sciences Committee on Indicators of Pre-College Science and Mathematics Education.
1983-1984	Member, Ad Hoc Committee to Develop Guidelines for W.G. Cochran Memorial Award, American Statistical Association.
1985-1995	Consulting Editor to Chapman and Hall Publishers and General Editor with Cox, Hinkley, Reid, and Silverman of Chapman and Hall's series "Monographs on Applied Statistics and Probability."
1985-1986	Member, National Academy of Sciences Working Group on Statistical Analysis, Committee on Basic Research in the Behavioral and Social Sciences.
1985-1990	Member, Advisory Committee for the National Science Foundation's section on "Measurement Methods and Data Resources."
1988-1993	Associate Editor, Survey Methodology.
1989-1992	Council Member, Institute for Mathematical Statistics.
1989-1992	Member, National Academy of Sciences Committee on National Statistics.
1989-1992	Member, Panel on Confidentiality of Data, National Academy of Sciences, Committee on National Statistics
1990-1992	Member, Panel on Bilingual Education, National Academy of Sciences, Committee on National Statistics
1990-1994	Chair-elect/Chairman/Past Chairman, American Association for the Advancement of Science's Section U: Statistics
1991-1994	Member, Data Monitoring Review Board, Project "Altering Caesarean-Section Trends," Brigham & Women's Hospital, Boston
1991-1995	Statistical Editor, International Journal of Psychiatry in Medicine
1992-1994	Member, Ad Hoc Advisory Panel/Technical Panel for Survey of Earned Doctorates, NSF/NIH/USED/NEH/USDA/NRC
1992-1994	Member, ex officio, Corporation of National Institute of Statistical Sciences

DONALD B. RUBIN - 6 -

1992-1995	Guest Editor, Statistica Sinica for the theme topic "The EM Algorithm", 5, 1, pp. 1-107.
1992-1995	Associate Editor, Biometrika
1992-1996	Member AMS-IMS-MAA (American Mathematical Society-Institute for Mathematical Statistics-Mathematical Association of America) Data Committee
1993-1998	American Representative on the Elections Committee for Fellows for the International Statistical Institute
1993-2004	Associate Editor, Statistica Sinica
1995-1998	Guest Editor, Statistica Sinica for the theme topic "Statistical Issues in Educational Testing", Vol. 8, 1998, pp. 647-747.
1995-1998	Member, Committee for Fellows for the Institute of Mathematical Statistics
1995-1996	Member, Panel on Statistics in the 21st Century, Committee on Applied and Theoretical Statistics, National Academy of Sciences
1995	Member, Visiting Committee for Department of Statistics, Pennsylvania State University
1995	Member, Visiting Committee for Department of Biostatistics, University of California, Los Angeles
1996	Member, Nominating Committee for Committee on National Statistics, National Academy of Sciences
1996	Member, Census Blue Ribbon Panel, American Statistical Association
1996	Member, Task Force on Statistical Inference, American Psychological Association
1996	Member, Advisory Board, Program Project Grant "Surgery, Immunology and Immunotherapy of Cancer", John Wayne Cancer Institute, UCLA Medical School
1996-2001	Member, Scientific Advisory Board, Pharsight Corporation, Palo Alto, CA
1996-1999	Chair Elect, Chair, Past Chair, Section on Survey Research Methods, American Statistical Association
1997-2000	Member, Committee on Award for Outstanding Statistical Application, American Statistical Association
1997	Member, Visiting Committee for the Department of Statistics, Columbia University.
1998-2002	Member, Statistical Advisory Board, Statistical Solutions, Cork, Ireland.
1999-2002	Member, Samuel S. Wilks Medal Committee, American Statistical Association.
2000-2003	Member, Working Group on Data Confidentiality and Data Dissemination, Institute for Social Research, University of Michigan.
2001-2002	Member, Centers for Disease Control and Prevention Panel on Study Design for Anthrax Vaccine.

DONALD B. RUBIN -7-

2002	Member, Panel on Reputational Measures of Academic Departments and Data Presentation, National Academy of Sciences.	
2006	Chair-Elect, Section on Epidemiology, American Statistical Association.	
2007	Research Fellow, IAB (Institute for Employment Research), Nuremburg, Germany.	
2009-2015	Member, Census Advisory Committee, American Statistical Association.	
2011-2024	Research Fellow, Institute for the Study of Labor (IZA), Bonn, Germany.	
2012-2013	Member, Membership Panel, Class I, Section 1 (Mathematics), American Academy of Arts and Sciences.	
2012-2015	Invited Participant, Council of Scientific Presidents (CSSP) R&D Division Research Project, "Creative Heuristics Enabling Strategic Success."	
2013-2015	Honorary Editor, Communications for Statistical Applications and Methods, Korean International Statistical Society	
2017	Member, International Consortium of Chinese Mathematicians (ICCM) Global Committee	
2017-present	Member, Proceedings of the National Academy of Sciences (PNAS) Editorial Board	
2019-present	Academic advisor, the Statistical Consulting Center in Tsinghua, China	
2019-present	Member, Advisory Panel of Professor C.R. Rao's Centenary Celebrations by CR Rao Institute in Hyderabad, India	
2019-present	Editorial Advisory Board Member, Open Statistics, De Gruyter, ISSN: 2657-3601	
Fellowships, Honors, and Awards, Pre-Ph.D.:		
1961	Offer of three-year degree with advanced placement in physics, mathematics, chemistry and history, Princeton University.	
1961-1965	Dean's List, all terms, Princeton University.	
1964	Howard Crosby Warren Award for outstanding junior psychology major, Princeton University.	
1964	NSF Undergraduate Summer Research Fellowship, Department of Psychology, Princeton University.	
1965	Howard Crosby Warren Award for outstanding senior psychology major, Princeton University.	
1965	NSF Undergraduate Summer Research Fellowship, Department of Psychology, Princeton University.	
1965	Phi Beta Kappa, Princeton University.	
1965	Woodrow Wilson Graduate Fellowship.	
1965-1970	NSF Graduate Fellowships.	

DONALD B. RUBIN - 8 -

# Selected Awards, Honors, Awards, and Invited Presentations, Post-Ph.D.:

1977	Elected Fellow of American Statistical Association.
1977	Elected Fellow of Institute of Mathematical Statistics.
1977-1978	John Simon Guggenheim Fellowship. Studies in Mathematical and Theoretical Statistics: Evaluating the Quality of Causal Inferences in Observational Studies.
1984	Elected Fellow of American Association for the Advancement of Science.
1984	Elected Member of International Statistical Institute.
1988	Biometric Society – ENAR, President's Invited Address, Boston, MA.
1992	B.V. Sukhatme Memorial Lecture, Iowa State University, Ames, IA.
1992	First Biennial Days of Biometrics Lecture Series, Adolphe Quetelet Society, Louvain-La-Leuve, Belgium.
1993	Psychometric Society, President's Invited Address, Barcelona, Spain.
1993	Elected Member of the American Academy of Arts and Sciences [I:1].
1994	Royal Statistical Society, President's Invited Address, Newcastle upon Tyne, England.
1995	Statistician of the Year, American Statistical Association, Boston Chapter.
1995	Jacob Marschack Colloquium, University of California, Los Angeles, CA.
1995	Samuel S. Wilks Memorial Medal, American Statistical Association (awarded annually since 1964; at the time, called the Association's "most prestigious award").
1996	Emanuel and Carol Parzen Prize for Statistical Innovation (awarded biannually since 1994).
1996	C.L. and M.D. Rustagi Memorial Lecture, Ohio State University, Columbus, OH.
1997	First Corcoran Memorial Lecture, Department of Statistics, University of Oxford, Oxford, U.K.
1997	Bernard Greenberg Memorial Lecture, Department of Biostatistics, University of North Carolina.
1997	Distinguished Lecture Series in Statistics, Wharton School, University of Pennsylvania.
1997	Clifford C. Clogg Memorial Lecture in Sociology and Statistics, Pennsylvania State University.
2000 - Present	According to Google Scholar, one of the most highly cited mathematicians, statisticians, economists, or psychologists in the world. See, for statistics, (http://scholar.google.com/citations?view_op=search_authors&hl=en&mauthors=label:statistics).
2001	Statistician of the Year, American Statistical Association, Chicago Chapter.

DONALD B. RUBIN - 9 -

2001	Mitchell Prize, International Society for Bayesian Analysis/Section on Bayesian Statistical Sciences of the American Statistical Association. (For publication with K. Hirano, G. Imbens and X-H Zhou.)
2001	American Public Health Association, Lowell Reed Lecturer.
2002 2002	Highly Cited Mathematicians, Decade 1991-2000. Science Watch, 13, 1, ISI Thompson. Highly Cited Economists, Decade 1990-2000. Social Science Citation Index, ISI Thompson.
2003	Keynote Invited Lecture, 14 <sup>th</sup> Conference on Machine Learning and 7 <sup>th</sup> European Conference on Knowledge Discovery in Databases, Cavtat, Croatia.
2004	Knapp University Lecture, University of Wisconsin, Madison.
2004	Baxter Memorial Lecture, State University of New York, Stony Brook.
2004	Joint Program on Statistical Methods, 10 <sup>th</sup> Anniversary Distinguished Lecture, Washington, D.C.
2004	Special Plenary Lecture, European Conference on Quality and Methodology in Official Statistics, Mainz, Germany.
2004	Keynote Address, 6 <sup>th</sup> International German Socio-Economic Panel User Conference, Berlin, Germany.
2004	Keynote Lecture, 24 <sup>th</sup> Biennial Conference of the Society for Multivariate Analysis in the Behavioral Sciences, Jena University, Germany.
2004	John Wiley and Sons, Lifetime Achievement Award in Statistics and Mathematics, Joint Statistical Meetings, Toronto, Ontario, Canada.
2004	Fisher Lecturer, Joint Statistical Meetings, Committee of Presidents of Statistical Societies, Toronto, Ontario, Canada.
2005	Biometric Society - WNAR, President's Invited Address, Fairbanks, AK.
2005	Morris H. DeGroot Memorial Lecture, Carnegie Mellon University, Pittsburgh, PA.
2005	Plenary Lecture, Annual Meeting of the German Statistical Society, Braunschweig, Germany.
2005	Morris H. Hansen Memorial Lecture, Washington D.C. Chapter of the American Statistical Association, Washington, D.C.
2005	Plenary Lecture, Conference on Econometric Evaluation of Public Polices: Methods and Applications, Paris, France.
2006	Keynote Address, 5 <sup>th</sup> Annual Hawaii International Conference on Statistics, Mathematics, and Related Fields, Honolulu, Hawaii.
2006	Distinguished Lecture, NSF Program for Interdisciplinary Mathematics, Ecology, and Statistics, Fort Collins, Colorado.
2006	Keynote Address, ZEW Evaluation Conference, Mannheim, Germany.
2007	George W. Snedecor Award of the Committee of Presidents of Statistical Societies.

Kuwaiti Lecture in Mathematics, University of Cambridge, UK.

2007

DONALD B. RUBIN - 10 -

2008	Keynote Address, European Association of Methodology, Oviedo, Spain.
2008	Award of Honorary Membership, European Association of Methodology.
2008	Keynote Speaker, Symposium on Causality, Jena, Germany.
2009	Inaugural Lecture for Georgia State Department of Statistics, Atlanta, Georgia.
2009	Inaugural W.E. Cochran Lecture at the Atlantic Causal Modelling Conference, Philadelphia, Pennsylvania.
2009	Elected Corresponding Fellow of the British Academy, London, U.K.
2009	Sole Invited Discussant, Census Conference on Preserving Confidentiality Using Synthetic Data, Washington, D.C.
2009	Mitchell Prize, International Society for Bayesian Analysis/Section and Bayesian Statistical Sciences of the American Statistical Association. (With H. Jin.)
2009	Distinguished Plenary Speaker, Singapore Economic Review Conference, Singapore.
2009	Humboldt Research Award, Alexander von Humboldt Foundation, Bonn, Germany.
2010	Elected Member, National Academy of Sciences.
2010	Invited Presentation, University of Rome, May, Rome, Italy.
2010	Invited Tutorial on Active Learning and Experimental Design, AISTATS Conference, May, Sardinia, Italy.
2010	Invited Presentation on Causal Inference, Department of Statistics, University of Florence, May, Florence, Italy.
2010	Plenary Lecture, Google Statfoo Conference, May, Palo Alto, CA.
2010	Keynote Speaker, 50th Anniversary of Department of Statistics, University of Wisconsin, Madison, WI.
2010	Keynote Speaker, International Chinese Statistical Association, June, Indianapolis, IN.
2010	Keynote Speaker, European Association of Methodology, July, Potsdam, Germany.
2010	Invited General Discussant, Symposium on Causality in Education, July, Jena, Germany.
2010	Keynote Address and Short Course on Causal Inference, Nordic Statistical Meetings, August, Copenhagen, Denmark.
2011	Lewis B. Sheiner Lecture Award, University of California-San Francisco/American Conference on Pharmacometrics (ACoP), April, San Diego, CA.
2011	Invited Keynote Speaker, Pacific Coast Statisticians and Pharmacometricians Innovation Conference (PaSiPhIC), July, San Luis Obispo, CA.
2011	Invited Plenary Speaker, First Wuxi International Statistics Forum, July, Wuxi, China.
2011	Invited Plenary Speaker, 2011 International Statistics Institute, 58th World Statistics

DONALD B. RUBIN - 11 -

	Congress, August, Dublin, Ireland.
2011	Invitee, Annual Dies Academicus, University of Bamberg, November, Bamberg, Germany.
2012	Distinguished Keynote Lecture, Second Joint Biostatistics Symposium, July 8, Beijing, China.
2012	Tsinghua University Pao-Lu Hsu Distinguished Lectures (2 <sup>nd</sup> annual; 1 <sup>st</sup> annual = Bradley Efron, Stanford University), July 11 & 13, Beijing, China.
2012	Invited Otis Dudley Duncan Memorial Lecture, American Sociological Association, August 17, Denver, Colorado.
2013	Invited Speaker, COPSS 50 <sup>th</sup> Anniversary, JSM 2013, August 5, 2013, Montreal, Canada.
2014	Invited Speaker and Workshop Participant, European Central Bank Surveys, March 17, Frankfurt, Germany.
2014	Invited Participant, Loeb Symposium on Religious Freedom with Rabbi Angela Buchdahl, Sheikh Yasir Qadhi, Rev. J. Brent Walker, and Henry Louis Gates Jr, Harvard University, May 1, Cambridge, MA.
2014	Invited Participant, Clinical Effectiveness Research Innovation Collaborative, Institute of Medicine of the National Academies, May 12, Washington, DC.
2014	Invited Participant, Missing Data Working Group Meeting, Budget Support Working Group, World Bank, May 13, Washington, DC.
2014	Invited Speaker, ISBA-George Box Research Workshop on Frontiers of Statistics, George Washington University, May 21-22, Washington, DC.
2014	Invited Speaker, Biogen Idec and the Boston Chapter of the American Statistical Association, May 27, Boston, MA.
2014	Keynote Speaker, Special Seminar on Multiple Imputation, Poverty and Inequality Measurement and Analysis Practice Group, June 18, broadcast event.
2014	Speaker, 2014 ASA Biopharmaceutical Section FDA-Industry Statistics Workshop, September 24, Washington, DC.
2014	Keynote Speaker, International Conference on Risk Analysis of Meteorological Disasters (RAMD'14), October 12 & 13, Nanjing, China.
2014	Highly Cited Researcher, The World's Most Influential Scientific Minds: 2014, Thomson Reuters, October 6, 2014, London, UK.
2014	Invited Speaker, 2014 International Methodology Symposium, Statistics Canada, October 31, Ottawa, Canada.
2015	International Affiliate, Otto-Friedrich-Universität Bamberg, Bamberg, Germany.
2015	Member, Scientific Advisory Council, Bamberg Graduate School of Social Sciences, Otto-Friedrich-Universität Bamberg, Bamberg, Germany.
2015	Invited Speaker, NINDS Launching Effectiveness Research to Guide Practice in Neurosurgery Workshop, National Institute of Neurological Disorders and Stroke (NINDS), NIH, February 5, Bethesda, MD.

DONALD B. RUBIN - 12 -

2015	Invited Speaker, Interdisciplinary Seminar in Quantitative Methods (ISQM), University of Michigan, February 11, Ann Arbor, MI.
2015	Invited Speaker, 2015 American Society for Clinical Pharmacology and Therapeutics (ASCPT) Annual Meeting, ASCPT, March 4, New Orleans, LA.
2015	Invited Speaker, RAND Corporation, March 24, Santa Monica, CA.
2015	Keynote Speaker, International Workshop on Applied Statistics (4 <sup>th</sup> Annual IWAS 2015), April 22 – 25, Bogotá, Colombia.
2015	Keynote Speaker, 35 <sup>th</sup> Conference on Applied Statistics in Ireland, Irish Statistical Association (ISA), May 12, Cork, Ireland.
2015	Invited Speaker and Participant, Cariplo Foundation Seminar and Workshop, June 15, Milan, Italy.
2015	Invited Lecturer, School on Causal Inference and Impact Evaluation, June 17-19, Florence, Italy.
2015	Invited Conference Faculty, 2015 Main Causal Inference Workshop, July 13, Chicago, IL.
2015	Invited Speaker, First Latin American ISI Satellite Meeting on Small Area Estimation, August 3 – 5, Pontificia Universidad Católica de Chile, Santiago, Chile.
2015	Invited Speaker for Short Course, August $5-6$ , Pontificia Universidad Católica de Chile, Santiago, Chile.
2015	Invited Speaker for Workshop on Measuring Inequalities of Income and Wealth, High-Level Expert Group on the Measurement of Economic Performance and Social Progress, OECD, September 15, Berlin, Germany.
2015	Short Course Faculty Member, ASA Biopharmaceutical Section FDA-Industry Statistics Workshop, September 16, Washington, DC.
2015	Invited Speaker and Panelist, CODE, MIT, October 16 – 17, Cambridge, MA.
2015	Invited Speaker, Department of Biostatistics, University of Michigan, October 29, Ann Arbor, MI.
2015	Keynote Speaker, Rod Little's 65 <sup>th</sup> Birthday Celebration, Department of Biostatistics, University of Michigan, October 30, Ann Arbor, MI.
2015	Keynote Speaker, FDA/CTP Statistics Public Workshop on Missing Data, November $5-6$ , Silver Spring, MD.
2015	Invited Speaker, University of the Free State, November, Republic of South Africa.
2016	2016 PROSE Award for Best Textbook in the Social Sciences for: Causal Inference for Statistics, Social, and Biomedical Sciences: An Introduction with G. Imbens.
2016	Speaker for 2016 DeGroot Prize for Bayesian Data Analysis, with A. Gelman, J. Carlin, H. Stern. Third edition also with D. Dunson and A. Vehtari.
2016	Invited Speaker, Department of Biostatistics, University of Washington School of Public Health, January 21, Seattle, WA.

DONALD B. RUBIN - 13 -

2016	Invited Speaker, Substantial Evidence in 21st Century Regulatory Science - Borrowing Strength from Accumulating Data, American Course on Drug Development and Regulatory Sciences, University of California Washington Center, April 21, Washington, DC.
2016	Invited Speaker, Cultural Patterns: Multiscale Data-driven Models, Institute for Pure and Applied Mathematics, University of California, Los Angeles, May 9 – 13, Los Angeles, CA.
2016	Invited Speaker, 60 <sup>th</sup> Anniversary Meeting, Department of Statistics, University of Chicago, May 13 – 14, Chicago, IL.
2016	Keynote Speaker, Novartis China, June 20, Shanghai, China.
2016	Keynote Speaker, 2016 ICSA China Statistics Conference, June 24, Qingdao, China.
2016	Keynote Speaker, East China Normal University, June 27, Shanghai, China.
2016	Workshop Faculty, Joint Statistical Meetings, July 30 – August 2, Chicago, IL.
2016	Invited Speaker, Department of Biostatistics, University of Michigan School of Public Health, September 8, Ann Arbor, MI.
2016	Invited Faculty, ARCO Lab Summer School on Impact Evaluation Methodologies, Action Research for Co-development (ARCO), September 12 – 15, Florence, Italy.
2016	Invited Speaker, Utilizing Innovative Statistical Methods and Trials Designs in Rare Disease Settings, Center for Health Policy, Duke University, October 11, Washington, DC.
2016	Invited Lecturer, Biobank and Health Data Conference 2016, Research Council of Norway, November 8 – 9, Oslo, Norway.
2016	Invited Speaker, Causal Inference Conference, Department of Statistics, Columbia, University, November 10 – 12, New York, NY.
2016	Invited Speaker, Distinguished Lectures in Statistical Science, Fields Institute for Research in Mathematical Sciences, November 23 – 24, Ontario, Canada.
2017	Invited Speaker, Uppsala University, January 26 and 28, Uppsala, Sweden.
2017	2017 C.R. and Ghargavi Rao Prize for Outstanding Research in Statistics.
2017	Rao Prize Lecture, May 12, Pennsylvania State University, State College, PA.
2017	Keynote Speaker, IMPS 2017, Psychometric Society, July 18 – 21, Zurich, Switzerland.
2017	2017 Waksberg Award, Survey Methodology, American Statistical Association.
2017	Karl Pearson Prize, Statistical Analysis with Missing Data, International Statistical Institute.
2017	Evanston Township High School Distinguished Alumni Award
2017-2018	Nirit and Michael Sahoul Fellow, in The Mortimer and Raymond Sackler Institute of Advanced Studies, Tel Aviv, Israel.
2018	Distinguished Visitor of the Center for Statistical Science of the Jockey Club Institute for Advanced Study at Hong Kong University of Science and Technology.

DONALD B. RUBIN - 14 -

2020 Research Excellence Award, Yau Mathematical Sciences Center, Tsinghua University, China.

# **Honorary Doctorates**

See page 1 of C.V. for all degrees, including honorary.

# **Honorary Professorships**

2012-2013	Honorary Belle van Zuylen Professor, Department of Methodology and Statistics, University of Utrecht, The Netherlands.
2014	Honorary Professorship, Shanghai Finance University, awarded October 11, Shanghai, China.
2014	Honorary Professorship, Nanjing University of Science & Technology, awarded October 12, Nanjing, China.
2014	Honorary Professorship, Xi'an University of Technology, awarded October 16, Xi'an, China.
2015	Honorary Professorship, University of the Free State, awarded November, Republic of South Africa.

DONALD B. RUBIN - 15 -

#### **Memberships in Professional Societies:**

American Academy of Arts and Sciences [Fellow, I:1]

American Association for the Advancement of Science (Fellow)

American Public Health Association

American Statistical Association (Fellow)

**Biometric Society** 

British Academy (Corresponding Fellow)

**Econometric Society** 

European Association of Methodology (Honorary Member)

Institute for Employment Research (Fellow)

Institute of Mathematical Statistics (Fellow)

International Association of Survey Statisticians

International Chinese Statistical Association (Permanent Member)

International Statistical Institute

National Academy of Sciences

New York Academy of Sciences

Psychometric Society

Royal Statistical Society

Society for Research Synthesis Methodology

#### Biography included in:

Who's Who in America

Who's Who in The World

Who's Who in American Education

Who's Who in The East

Who's Who in Science and Engineering

Who's Who in Economics

Who's Who in Medicine and Health Care

International Who's Who of Professionals

American Biographical Institute

Strathmore's Who's Who

Dictionary of International Biography

American Men and Women of Science

National Register's Who's Who in Executives and Professionals

Madison's Who's Who

DONALD B. RUBIN - 16 -

#### **Books and Monographs:**

1980 - Handling Nonresponse in Sample Surveys by Multiple Imputations, U.S. Department of Commerce, Bureau of the Census Monograph.

- 1982 Test Equating. New York: Academic Press, Inc. (P.W. Holland and D.B. Rubin, eds.)
- 1983 Incomplete Data in Sample Surveys (Volume 2): Theory and Bibliographies. New York: Academic Press, Inc. (W.G. Madow, I. Olkin, and D.B. Rubin, eds.)
- 1987 Multiple Imputation for Nonresponse in Surveys. New York: John Wiley and Sons.
- Statistical Analysis with Missing Data. New York: John Wiley and Sons. (R.J.A. Little and D.B. Rubin.) Winner of the 2017 Karl Pearson Prize. Translated into Russian in 1991: Finansy and Statistika Publishers, Moscow, Andrei Nikiforev, translator.
- 1995 Bayesian Data Analysis. New York: Chapman and Hall. (A. Gelman, J. Carlin, H. Stern, and D.B. Rubin.)
- 2000 Contrasts and Effect Sizes in Behavioral Research: A correlational approach. Cambridge, UK: Cambridge University Press. (R. Rosenthal, R. Rosnow, and D.B. Rubin.)
- 2002 Statistical Analysis with Missing Data, 2nd ed. New York: John Wiley and Sons. (R.J.A. Little and D.B. Rubin). Translated into Chinese in 2003: China Statistics Press, People's Republic of China.
- 2004 Bayesian Data Analysis, 2nd ed. New York: CRC Press. (A. Gelman, J. Carlin, H. Stern, and D.B. Rubin.)
- 2004 Multiple Imputation for Nonresponse in Surveys. Reprinted with appendices as a "Wiley Classic." New York: John Wiley and Sons. Appendix 1: (1977) "The Design of a General and Flexible System for Handling Non-Response in Sample Surveys."; Appendix 2: (1983) "Progress Report on Project For Multiple Imputation of 1980 Codes." Manuscript distributed to the U.S. Bureau of the Census, the U.S. National Science Foundation and the Social Science Research Foundation.
- 2006 Matched Sampling for Causal Effects. New York: Cambridge University Press.
- 2013 Bayesian Data Analysis, 3rd ed. New York: CRC Press. (A. Gelman, J. Carlin, H. Stern, D. Dunson, A. Vehtari, and D.B. Rubin.) Winner of the 2016 DeGroot Prize. To be translated into Korean: Acorn Publishing Company.
- 2015 Causal Inference for Statistics, Social, and Biomedical Sciences: An Introduction. New York: Cambridge University Press. (G. Imbens and D.B. Rubin.) Winner of the 2016 PROSE Award for Best Textbook in the Social Sciences. To be translated into Chinese.
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- 400. 2017 "Launching Effectiveness Research to Guide Practice in Neurosurgery: A National Institute Neurological Disorders and Stroke (NINDS) Workshop Report." Journal of Neurosurgery, 80, 4, pp. 505-514. (P. Walicke, A. Abosch, A. Asher, F.G. Barker, Z. Ghogawala, R. Harbaugh, L. Jehi, J. Kestle, W. Koroshetz, R. Little, D.B. Rubin, A. Valadka, S. Wisniewski, and E.A. Chiocca.)
- 401. 2017 "Multiply-imputed Synthetic Data: Advice to the Importer." Journal of Official Statistics, 33, 4, pp. 1005-1019. (B. Loong and D.B. Rubin.)
- 402. 2017 "Bridging Observational Studies and Randomized Experiments by Embedding the Former in the Latter." Statistical Methods in Medical Research, 28, 7, pp. 1958-1978. (M-A C. Bind and D.B. Rubin.)
- 403. 2018 "Causal Inference." The SAGE Encyclopedia of Educational Research, Measurement, and Evaluation. pp. 248-251. (D.B. Rubin and E.R. Zell.)
- 404. 2018 "Sequential Rerandomization." Biometrika, 17, pp. 1-21. (Q. Zou, P.A. Ernst, K.L. Morgan, D.B. Rubin, and A. Zhang.)
- 405. 2018 "Asymptotic Theory of Rerandomization in Treatment-Control Experiments." Proceedings of the National Academy of Sciences of the United States of America, 115, pp. 9157-9162. (P. Ding, X. Li, and D.B. Rubin.)

DONALD B. RUBIN - 43 -

406. 2018 - "Disentangling Treatment and Placebo Effects in Randomized Experiments Using Principal Stratification – An Introduction." M. Wiberg et al. (eds.), Quantitative Psychology, Springer Proceedings in Mathematics & Statistics, 233, pp. 11-23. (R. Mozer, R. Kessels, and D.B. Rubin.)

- 407. 2018 "On Stephen E. Fienberg as a Discussant and a Friend." The Annals of Applied Statistics, 12, 2, pp. 683-684.
- 408. 2018 "Influence Estimation on Social Media Networks Using Causal Inference." IEEE Statistical Signal Processing (SSP) Workshop, arXiv:1804.04109. (S.T. Smith, E.K. Kao, D.C. Shah, O. Simek, and D.B. Rubin.)
- 409. 2019 "Conditional Calibration and the Sage Statistician." Survey Methodology, Statistics Canada, Catalogue No. 12-001-X, 45, 2, pp. 187-198.
- 410. 2019 "Essential Concepts of Causal Inference: A Remarkable History and an Intriguing Future." Biostatistics & Epidemiology, 3, 1, pp. 140-155.
- 411. 2019 "Statistical Inference for Causal Effects in Clinical Psychology: Fundamental Concepts and Analytical Approaches." Cambridge Handbook of Research Method, in Clinical Psychology Aidan Wright and Michael Halquist, eds. Chapter 31. In press. (R. Mozer, D.B. Rubin, and J. Zubizarreta.)
- 412. 2019 "In Memory of Professor Susanne Räassler." Journal of Official Statistics, 35, 1, pp. 285-286. (J. Drechsler, H. Kiesl, F. Meinfelder, T.E. Raghunathan, D.B. Rubin, N. Schenker, and E.R. Zell.)
- 413. 2020 "Covariate-adjusted Survival Analyses in Propensity-score Matched Samples: Imputing Potential Time-to-event Outcomes." Statistical Methods in Medical Research, 29, 3, pp. 728-751. (P.C. Austin, N. Thomas, and D.B. Rubin.)
- 414. 2020 "Diagnosing Missing Always at Random in Multivariate Data." Biometrika, 107, 1, pp. 246-253. (I.I. Bojinov, N.S. Pillai, and D.B. Rubin.)
- 415. 2020 "The Practical Importance of Understanding Placebo Effects and Their Role When Approving Drugs and Recommending Dosages." Behaviormetrika, 47, 1, pp. 5-18.
- 416. 2020 "Catalytic Prior Distributions with Application to Generalized Linear Models." Proceedings of the National Academy of Sciences, 117, 22, pp. 12994-12010. (D. Huang, N. Stein, D.B. Rubin and S.C. Kou.)
- 417. 2020 "Nonstandard Conditionally Specified Models for Non-ignorable Missing Data." Proceedings of the National Academy of Sciences, accepted. (E. Airoldi, A. Franks, and D.B. Rubin.)
- 418. 2020 "When Possible, Report Fisher-exact p-values and Display the Underlying Fisherian Null Randomization Distributions." Proceedings of the National Academy of Sciences, 117, 32, pp. 1-8. (M.-A. C. Bind and D.B. Rubin.)
- 419. 2020 "On Optimal Rerandomization Designs." Journal of the Royal Statistical Society-B, accepted. (P. Johansson, D.B. Rubin, and M. Schultzberg.)
- 420. 2020 "C. R. Rao's Century." Significance, 17, 4, pp. 36-38. (B. Efron, S. Amari, D.B. Rubin, A.S.R.S. Rao and D.R. Cox.)

DONALD B. RUBIN - 44 -

421. 2020 – "Heterogeneous Ozone Effects on the DNA Methylome of Bronchial Cells Observed in a Crossover Study." Scientific Reports, 10, pp. 15739. (M.-A. C. Bind, D.B. Rubin, A. Cardenas, R. Dhingra, C. Ward-Caviness, Z. Liu, J. Mirowsky, J. D. Schwartz, D. Diaz-Sanchez and R. B. Devlin.)

- 422. 2020 "Rerandomization in 2<sup>K</sup> Factorial Experiments." The Annals of Statistics, 48, 1, pp. 43-63. (X. Li, P. Ding and D.B. Rubin.)
- 423. 2020 "Automatic Detection of Influential Actors in Disinformation Network." Proceedings of the National Academy of Sciences, 118, 4, e2011216118. (S.T. Smith, E.K. Kao, E.D. Mackin, D.C. Shah, O. Simek, and D.B. Rubin.)

#### **Patents**

Pinto Stephen K, Mansfield Richard, Jacobs Marc, Rubin Donald: Predictive modeling. Fortelligent Nov 10, 2005: WO 2005/106656.

Stephen K Pinto, Richard Mansfield, Marc Jacobs, Donald Rubin: Predictive model augmentation by variable transformation. Fortelligent Jun 1, 2010: US 7730003.

Stephen K Pinto, Richard JW Mansfield, Donald B Rubin, Jay C Hirshberg: Predictive model development. Fortelligent Mar 24, 2011: US 20110071956.

Stephen K Pinto, Richard J W Mansfield, Marc Jacobs, Donald B Rubin, Jay C Hirshberg: Predictive model generation. Fortelligent Apr 26, 2011: US 7933762.

Stephen K Pinto, Richard J W Mansfield, Donald B Rubin, Jay C Hirshberg: Predictor variable selection and dimensionality reduction for a predictive model. Brindle Data LLC Jun 10, 2014: US 8751273 B2.

#### **Other Manuscripts:**

- 1970 "A Probability Plotting Program for the Calcomp Plotter." Cambridge Project Research Report CP-2, Department of Statistics, Harvard University. (With P.W. Holland).
- 1974 "Reading with Television: A Follow-Up Evaluation of The Electric Company." Educational Testing Service Research Bulletin 74-14. (With S. Ball, G. Bogatz, K.M. Kazarow).
- 1974 "The Assessment of a Treatment Effect When a Covariate is Observed on a Subset of the Units, I: Simple Estimators." Educational Testing Service Research Bulletin 74-43. (With S. Weisberg).
- 1976 "Minimizing Prediction Error Using Factors of the Marginal Likelihood." Preprint Report No. 1976-13, Department of Mathematics, Queen's University. (With T.W.F. Stroud).
- 1977 "The Design of a General and Flexible System for Handling Non-Response in Sample Surveys."
- 1978 "A Representation for the Regression Coefficients in Weighted Least Squares." Educational Testing Service Research Bulletin 78-1.
- 1979 "Improved Estimation of Means in One-Way ANOVA Designs." (With D.T. Thayer).
- 1980 "File Concatenation with Adjusted Weights."

DONALD B. RUBIN - 45 -

1981 - "A Bayesian Technique for Forecasting the Next Observation in a Set of Parallel Time Series with Short History." (With T.W.F. Stroud.)

- 1983 "Progress Report on Project For Multiple Imputation of 1980 Codes." Manuscript distributed to the U.S. Bureau of the Census, the U.S. National Science Foundation and the Social Science Research Foundation.
- 1988 "Imputation of Missing Data in FARS: Suggestions and Comments on Papers and Presentations." Proceedings of N.H.T.S.A. Conference, U.S. Department of Transportation.
- 1989 "Practical Implications of Frequentist and Bayesian Modes of Causal Inference for Educational Interventions." American Educational Research Association Special Invited Presentation.
- 1991 "On Bias from Equating Tests in Restricted Populations." (With R.J.A. Little.)
- 1991 "Loglinear Polynomial and Extended Beta Binomial Models for Smoothing Subtest Score Distributions from ASVAB Recruit Samples." (With R.J.A. Little.)
- 1991 "An Assessment of Linear Equipercentile and Presmoothed Equipercentile Equating Procedures on Recruit Equating Samples from The ASVAB." (With R.J.A. Little.)
- 1991 "Documentation of Handling of Unresolved Enumeration Status in 1990 Census/Post-Enumeration Survey." STSD Decennial Census Memorandum Series #V-98, U.S. Bureau of the Census, Washington, D.C. (With T. Belin, G. Diffendal, J. Schafer, and A. Zaslavsky.)
- 1992 "Using Binormal Plots to Assess Transformations to Normality." (With T.E. Raghunathan.)
- 1998 "Combining Panel Data Sets with Attrition and Refreshment Samples." NBER Technical Working Paper #230. (With K. Hirano, G. Imbens, and G. Ridder.)
- 2002 "Propensity-Score Analysis of Gastric Cancer and Eradication Therapy for Helicobacter Pylori." (With G. Lee, S. Kovitz and N. Uemora.)
- 2011 "Combining One-Sided p-values from Multiply-Imputed Data." (With C. Licht and S. Raessler.)
- 2012 "A Potential Outcomes, and Typically More Powerful, Alternative to "Cochran-Mantel-Haenszel." (With C. Pattanayak and E.R. Zell.)
- 2014 "A Principal Stratification Approach to Receipt of Rescue Medication in Early Escape Designs." (With D. Watson, V. Garcia-Horton, and S. Lindborg.)
- 2015 "Causal Inference for Ordinal Outcomes." (With E. Airoldi and A. Volfovsky.)
- 2015 "Effect of Elective Single versus Double Embryo Transfer for In Vitro Fertilization: A Propensity Score Design that Parallels a Large Randomized Experiment." Submitted to Journal of the American Medical Association. (With C.W. Pattanayak, T. Jain, D. Jamieson, A. Kulkarni, M. Macaluso, and D. Seifer.)
- 2020 "Inference for Multiple Interventions for the Treatment of Diabetes using Contrast-Specific Propensity Scores." Management Science, submitted. (With S. Han, J. Goh, F. Meng, M. K. S. Leow.)

DONALD B. RUBIN - 46 -

# Ph.D. Theses Supervised (as Primary or Sole Advisor at Departments of Statistics, unless otherwise indicated)

- \*Fellow of American Statistical Association
- †COPSS Prize Winner (Outstanding Young Statistician)
- ††President, American Statistical Association
- <sup>‡</sup>President, Eastern North American Region, International Biometric Society
- <sup>⋄</sup>Gertrude M. Cox Award Winner
- 1980 Paul R. Rosenbaum\*, "The Analysis of a Nonrandomized Experiment: Balanced Stratification and Sensitivity Analysis." Harvard University. Current Position: Robert G. Putzel Professor, Department of Statistics, Wharton, University of Pennsylvania.
- 1981 David A. Lax, "Inference with Unobserved Variables: Analysis of a Nonrandomized Study."
   Harvard University. Current Position: Managing Principal, Lax Sebenius LCC, Concord, MA
- 1985 Nathaniel Schenker\*††, "Multiple Imputation for Interval Estimation from Surveys with Ignorable Nonresponse." University of Chicago. Current Position: Deputy Director, National Center for Health Statistics, Washington DC.
- 1985 Kim-Hung Li, "Hypothesis Testing in Multiple Imputation--with Emphasis on Mixed-up Frequencies in Contingency Tables." University of Chicago. Current Position: Retired Professor, Department of Statistics, Chinese University of Hong Kong.
- 1985 Daniel F. Heitjan\*\*, "Analysis of a Set of Coarsely Grouped Data." University of Chicago. Current Position: Professor of Statistical Science, Southern Methodist University and Professor, University of Texas Southwestern Medical Center. [President, ENAR 2013.]
- 1987 T.E. Raghunathan\*, "Large Sample Significance Levels from Multiply-Imputed Data." Harvard University. Current Position: Professor, Department of Biostatistics, and Research Professor, Survey Research Center, Institute for Social Research, University of Michigan.
- 1987 Leisa H. Weld, "Significance Levels from Public-Use Data With Multiply-Imputed Industry Codes." Harvard University. Current Position: Statistician, Centers for Disease Control and Prevention, Atlanta.
- 1988 S. Neal Thomas, Jr.\*, "Designing an Observational Study Using Estimated Propensity Scores." University of Chicago. Current Position: Senior Director, Pfizer Inc.
- 1989 Alan M. Zaslavsky\*<sup>⋄</sup>, "Representing the Census Undercount: Reweighting & Imputation Methods." M.I.T. Current Position: Professor, Department of Health Care Policy, Harvard Medical School.
- 1990 Andrew E. Gelman\*<sup>†</sup>, "Topics in Image Reconstruction for Emission Topography." Harvard University. Current Position: Professor, Department of Statistics, and Director, Applied Statistics Center, Columbia University.
- 1990 Xiao-Li Meng\*<sup>†</sup>, "Towards Complete Results for Some Incomplete-Data Problems." Harvard University. Current Position: Whipple V. N. Professor, Department of Statistics, Harvard University, and Dean, Graduate School of Arts and Sciences, Harvard University.

DONALD B. RUBIN - 47 -

1991 - Thomas R. Belin\*<sup>⋄</sup>, "Using Mixture Models to Calibrate Error Rates in Record-Linkage Procedures, With Application to Computer Matching for Census Undercount Estimation." Harvard University. Current Position: Professor, Departments of Biostatistics and Psychiatry and Biobehavioral Sciences, UCLA.

- 1992 Joseph L. Schafer\*, "Algorithms for Multiple Imputation and Posterior Simulation from Incomplete Multivariate Data with Ignorable Nonresponse." Harvard University. Current Position: Area Chief for Statistical Computing, Center for Statistical Research & Methodology, U.S. Census Bureau, Washington, D.C.
- 1994 Ralph B. D'Agostino, Jr.\*, "Estimating Propensity Scores When Covariates Have Either Ignorable or Nonignorable Missing Values." Harvard University. Current Position: Professor, Biostatistical Sciences, Department of Public Health Sciences, Bowman Gray School of Medicine, Wake Forest University, and Core Director, Comprehensive Cancer Center, Bowman Gray School of Medicine, Wake Forest University.
- 1994 Chuanhai Liu\*, "Statistical Analysis Using the Multivariate t Distribution." Harvard University. Current Position: Professor, Department of Statistics, Purdue University.
- 1996 Michael D. Larsen\*, "Bayesian Approaches to Finite Mixture Models." Harvard University. Current Position: Professor, Department of Statistics, George Washington University.
- 1996 Heng Li, "A Vector Space Approach to Linear Models and Its Application in Dyadic Designs." Harvard University. Current Position: Mathematical Statistician, Center for Devices and Radiological Health, Food and Drug Administration.
- 1997 Ying Nian Wu, "Modeling General Mixture Components with Application to Schizophrenic Eye-Tracking." Harvard University. Current Position: Professor, Department of Statistics, UCLA.
- 1998 Jiangtao Du, "Valid Inferences After Propensity Score Subclassification Using Maximum Number of Subclasses as Building Blocks." Harvard University. Current Position: Chief Investment Officer, Crediton Hill, LLC.
- 1998 Leonard D. Roseman, "Reducing Bias in the Estimate of the Difference in Survival in Observational Studies Using Subclassification on the Propensity Score." Harvard University. Current Position: Head of Group Decisions, Commonwealth Bank of Australia, New York, NY.
- 1999 Constantine E. Frangakis, "On Coexistent Complications with Noncompliance with Study-Protocols, and Implications for Statistical Analysis." Harvard University. Current Position: Professor, Department of Biostatistics, Bloomberg School of Public Health, Johns Hopkins University.
- 1999 Jerome P. Reiter\*<sup>⋄</sup>, "Estimation in the Presence of Constraints that Prohibit Explicit Data Pooling." Harvard University. Current Position: Professor of Statistical Science, Department of Statistical Science, Duke University.
- 2000 Jennifer L. Hill, "Classification by Opinion-Changing Behavior: Mixture Models That Accommodate Missing Data." Harvard University. Current Position: Professor of Applied Statistics and Data Science, Steinhardt School of Culture, Education, and Human Development, New York University.
- 2000 Zijin (C.J.) Shen, "Nested Multiple Imputation." Harvard University. Current Position: Head of Options, Jump Trading, LLC, New York and Chicago.

DONALD B. RUBIN - 48 -

2002 - Junni L. Zhang, "Causal Inference with Principal Stratification: Some Theory and Application." Harvard University, Current Position: Associate Professor, Department of Business Statistics and Econometrics, Guanghua School of Management, Peking University, Beijing, China.

- 2004 Samantha R. Cook, "Modeling Monotone Nonlinear Disease Progression and Checking the Correctness of the Associated Software." Harvard University, Current Position: Chief Scientist, Financial Network Analytics (FNA), Barcelona, Spain.
- 2004 Elizabeth A. Stuart\*<sup>⋄</sup>, "Matching Methods for Estimating Causal Effects Using Multiple Control Groups." Harvard University, Current Position: Professor, Departments of Mental Health, Biostatistics, and Health Policy and Management, and Associate Dean for Education, Bloomberg School of Public Health, Johns Hopkins University.
- 2005 Hui Jin, "Principal Stratification for Causal Inference with Extended Partial Compliance." Harvard University, Current Position: Economist, International Monetary Fund, Washington, DC.
- 2007 D. James Greiner, "Potential Outcomes and Causal Effects of Immutable Characteristics." Harvard University, Current Position: Professor, Harvard Law School.
- 2008 Charity J. Morgan, "Assessing Thought Disordered Behavior Using Finite Mixture Models and Comparing Approximations for Logistic Regression." Harvard University, Current Position: Assistant Professor, Biostatistics, University of Alabama at Birmingham.
- 2011 Kari Lock Morgan, "Rerandomization to Improve Covariate Balance in Randomized Experiments." Harvard University, Current Position: Assistant Professor, Department of Statistics, Pennsylvania State University.
- 2011 Roee Gutman, "Topics and Applications in Missing Data and Causality." Harvard University, Current Position: Assistant Professor, Biostatistics, Brown University School of Public Health.
- 2011 Cassandra (Wolos) Pattanayak, "The Critical Role of Covariate Balance in Causal Inference with Randomized Experiments and Observational Studies." Harvard University, Current Position: Director, Quantitative Analysis Institute, Wellesley College, and Lecturer in Quantitative Reasoning and Mathematics, Wellesley College.
- 2013 Viktoriia Liublinska, "Sensitivity Analyses in Empirical Studies Plagued with Missing Data." Harvard University, Current Position: Senior Research Analyst, Office of Institutional Research, Harvard University.
- 2014 Arman Sabbaghi, "Dilemmas in Design: From Neyman and Fisher to 3D Printing." Harvard University, Current Position: Assistant Professor, Statistics, Purdue University.
- 2015 Nikole Andric, "Exploring Objective Causal Inference in Case-Noncase Studies under the Rubin Causal Model." Harvard University, Current Position: Senior Data Science Consultant, Deloitte Consulting LLP.
- 2015 Avi Feller, "Essays in Causal Inference and Public Policy." Harvard University, Current Position: Assistant Professor, Goldman School of Public Policy, University of California, Berkeley.
- 2015 Joseph Lee, "Extensions of Randomization-Based Methods for Causal Inference." Harvard University, Current Position: Statistician, Google, Mountain View, CA.

DONALD B. RUBIN - 49 -

2017 - Hillel Bavli, "Improving the Accuracy of Civil Damage Awards with Claim Aggregation." Harvard University, Current Position: Counsel, Boies Schiller & Flexner LLP; Fellow, Harvard Institute for Quantitative Social Science.

- 2017 Ed Kao, "Causal Inference Under Network Interference: A Framework for Experiments on Social Networks." Harvard University, Current Position: Research Staff, MIT Lincoln Laboratory.
- 2018 Xinran Li, "New Asymptotic Results on Randomization Inference in Experiments." Harvard University, Current Position: Postdoctoral Researcher, Department of Statistics, University of Pennsylvania.

### Ph.D. Theses Jointly Supervised

- 1996 Sadek M. Wahba, "Estimation of Causal Effects in Observational Studies: Applications to Training Programs and Labor Migration Decisions." Department of Economics, Harvard University. Current Position: Founder and Managing Partner, Squared Capital, New York, NY.
- 1997 Rajeev H. Dehejia, "Econometric Methods for Program Evaluation." Department of Economics, Harvard University. Current Position: Professor of Public Policy and Associate Dean of Academic Affairs, Wagner Graduate School of Public Service, New York University.
- 1997 Bruce I. Sacerdote, "The Lottery Winner Survey, Crime and Social Interactions and Why is There More Crime in Cities." Department of Economics, Harvard University. Current Position: Richard S. Braddock 1963 Professor, Department of Economics, Dartmouth College.
- 1997 Sarah W. Thurston, "Error Analysis of Food Stamp Microsimulation Models." Department of Statistics, Harvard University. Current Position: Associate Professor, Department of Biostatistics and Computational Biology, University of Rochester Medical Center.
- 1998 Keisuke Hirano, "Essays on the Econometric Analysis of Panel Data." Department of Economics, Harvard University. Current Position: Professor, Department of Economics, Pennsylvania State University.
- 1998 Elaine L. Zanutto, "Imputation for Unit Nonresponse: Modeling Sampled Nonresponse Follow-up, Administrative Records, and Matched Substitutes." Department of Statistics, Harvard University. Current Position: Vice President of Methods and Analytics, Naxion, Philadelphia, PA.
- 1999 Jaap P.L. Brand, "Development, Implementation and Evaluation of Multiple Imputation Strategies for the Statistical Analysis of Incomplete Data Sets." Erasmus University, Rotterdam. Current Position: Postdoctoral Researcher, Department of Medical Decision Making at Leiden University Medical Center, Leiden, Netherlands; Chairman of the Board, ATT, Utrecht, Netherlands; Analyst, Achmea, Apeldoorn Area, Netherlands.
- 1999 Alyce S. Adams, "Tribal Management in Indian Health, Effect of Drug Coverage on Drug Expenditures for Medicare Beneficiaries, and Self-Report Bias in Studies of Guideline Adherence." Kennedy School of Government, Harvard University. Current Position: Research Scientist and Associate Director of Health Care Delivery and Policy, Kaiser Permanente Division of Research, Oakland, CA.
- 2001 Eric O. Loken, "Temperamental Types as Latent Classes." Department of Psychology, Harvard University. Current Position: Research Associate Professor, Department of Human Development and Family Studies, Pennsylvania State University.

DONALD B. RUBIN - 50 -

2005 - Yaming Yu, "Three Contributions to Statistical Computing." Department of Statistics, Harvard University. Current Position: Associate Professor, Department of Statistics, University of California, Irvine.

- 2010 Christine Licht, "New Methods for Generating Significance Levels from Multiply-imputed Data," Winner of Outstanding Dissertation, Department of Statistics and Econometrics, University of Bamberg. Current Position: Coach and Consultant, Business Model Canvas, Germany.
- 2010 Yves Chretien, "Three Applications of Statistics to Medical Research." Department of Statistics, Harvard University. Current Position: Clinical Fellow in Radiology, Massachusetts General Hospital and Harvard University.
- 2012 Bronwyn Loong, "A Discussion of Uncongeniality for Synthetic Data." Department of Statistics, Harvard University. Current Position: Lecturer, College of Business and Economics, Australian National University.
- 2013 Ana Kolar, "Sample Size Considerations When Using Propensity Score Methods to Estimate Causal Effects." Department of Statistics for Social Sciences, University of Ljubljana, Slovenia. Current Position: Founder, TaraStats, Helsinki, Finland.
- 2013 Nathan Stein, "Topics in Empirical Bayes Modeling and Bayesian Computation." Department of Statistics, Harvard University. Current Position: Data Scientist, Spotify, New York, NY.
- 2013 Valeria Espinosa, "A Bayesian Perspective on Factorial Experiments Using Potential Outcomes." Department of Statistics, Harvard University. Current Position: Quantitative Analyst, Google, CA.
- 2014 David A. Watson, "Complications in Causal Inference: Incorporating Information Observed After Treatment is Assigned." Department of Statistics, Harvard University. Current Position: Visiting Assistant Professor, Department of Mathematics and Statistics, Carleton College.
- 2015 Peng Ding, "Exploring the Role of Randomization in Causal Inference." Department of Statistics, Harvard University. Current Position: Assistant Professor, Department of Statistics, University of California, Berkeley.
- 2015 Viviana Garcia Horton, "Topics in Bayesian Inference for Causal Effects." Department of Statistics, Harvard University. Current Position: Associate for Healthcare Practice, Analysis Group, New York, NY.
- 2016 Panagiotis (Panos) Toulis, "Implicit Methods for Iterative Estimation with Large Data Sets." Department of Statistics, Harvard University. Current Position: Assistant Professor of Econometrics and Statistics and John E. Jeuck Faculty Fellow, Booth School of Business, University of Chicago.
- 2017- Alexander D'Amour, "Superpopulation Inference in Social Network Analysis." Department of Statistics, Harvard University. Current Position: Neyman Visiting Assistant Professor of Statistics, University of California, Berkeley.
- 2018 Iavor Bojinov, "Diagnostic Tools in Missing Data and Causal Inference on Time Series." Department of Statistics, Harvard University. Current Position: Assistant Professor, Harvard Business School.

DONALD B. RUBIN - 51 -

2018 - Thomas Ferriss, "Topics in Causal Inference and the Law." Department of Statistics, Harvard University. Senior Data Scientist, Google.

- 2019 Juan Diaz, "Essays in causal inference in complex discontinuity designs and missing data", Harvard University, pending.
- 2019 Shasha Han, "The Evaluation of Health-Care Interventions", National University of Singapore, pending.

#### **BA/MA/MS** Theses Solely or Jointly Supervised

- 1991 Michael D. Larsen\*, "Multiple and Simple Imputation for Nonresponse in Sample Surveys and the FARS Data Set." Undergraduate Honors Thesis, Department of Mathematics, Harvard University.
- 1999 Daniel J. Benjamin, "Does 401(k) Eligibility Increase Net National Savings?: Reducing Bias in the Eligibility Effect Estimate." Undergraduate Honors Thesis, Department of Economics, Harvard University. Winner of Hoopes Prize.
- 2000 Kristin N. Javaras, "A General Location Model Allowing for Semicontinuous Variables and Incomplete Data." Undergraduate Honors Thesis, Department of Statistics, Harvard University. Rhodes Scholar.
- 2001 Masako Irie, "Handgun Waiting Periods and Their Effectiveness on Crime Rates and Homicide: a Statistical Analysis on Gun Control." AB/AM Thesis, Department of Economics and Department of Statistics, Harvard University.
- 2001 Yi Qian, "Do Additional National Patent Laws Stimulate More Domestic Innovation in a Global Patenting Environment?: An Empirical Analyses for Countries that Started Pharmaceutical Patent Protection During 1978-1997." AB/AM Thesis, Department of Economics and Department of Statistics, Harvard University.
- 2002 L. Stephen Long, "Psychopathic Personality and Affect in a Non-Incarcerated Sample." Undergraduate Honors Thesis, Departments of Psychology and Statistics, Harvard University. Winner of Hoopes Prize.
- 2003 Brent Tworetsky, "An Analysis of Requested World Wide Web File Sizes." Undergraduate Honors Thesis, Departments of Computer Science and Statistics, Harvard University. Winner of Hoopes Prize.
- 2004 Leo P. Espindle, "Improving Confidence Coverage for the Estimate of the Treatment Effect in a Subclassification Setting." Undergraduate Honors Thesis, Department of Applied Mathematics, Harvard University.
- 2006 Cassandra K. Wolos, "Matching Methods for Small Sample Size Observational Studies: Reducing Bias in the Pennsylvania Value-Added Assessment System Pilot Program Evaluation." Undergraduate Honors Thesis, Department of Statistics, Harvard University.
- 2008 Olivia Levine, "The Effect of Minority Status on Educational Attainment: An Evaluation With Propensity Score Matching." Undergraduate Honors Thesis, Department of Sociology, Harvard University.
- 2010 Joseph Lee, "Evaluating Bias in Experiments with Partially Selective Sampling." Undergraduate Honors Thesis, Department of Applied Mathematics, Harvard University. Winner of Hoopes Prize.

DONALD B. RUBIN - 52 -

2012 - Kristen Hunter, "A Little More Stratification, A Little Less Action: Principal Stratification Approach to Investigating the Effect of Intrapartum Antibiotic Prophylaxis on Late-Onset GBS." Undergraduate Honors Thesis, Department of Statistics, Harvard University.

- 2013 Alice Xiang, "Assessing the Potential Impact of a Nationwide Class-Based Affirmative Action System." Undergraduate Honors Thesis, AB/AM Candidate, Departments of Economics and Statistics, Harvard University. Winner of Hoopes Prize; winner of the Seymour E. and Ruth B. Harris Prize in Economics for "the outstanding honors thesis."
- 2018 Amanda Glazer, "Analyzing Faculty Bias in Mathematics through a Fractional Factorial Experiment" Undergraduate Honors Thesis, Department of Mathematics, Harvard University.
- 2018 Samuel Stone, "Intensive C.A.R.E. Delivers Results: A Causal Analysis of the Massachusetts Court Assisted Recovery Effort" Undergraduate Honors Thesis, Department of Statistics, Harvard University.

## Current Advanced PhD Students, Supervising or Jointly Supervising at Harvard

Dongming Huang Kristen Hunter